UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISS/ODNER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/662,700	09/15/2003	Babak Damaghi	34294/3	1032
1912 7590 04/13/2009 AMSTER, ROTHSTEIN & EBENSTEIN LLP 90 PARK AVENUE			EXAMINER	
			LASTRA, DANIEL	
NEW YORK, NY 10016			ART UNIT	PAPER NUMBER
			3688	
			MAIL DATE	DELIVERY MODE
			04/13/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Annii astion No	Amplianation				
	Application No.	Applicant(s)				
Office Action Summary	10/662,700	DAMAGHI, BABAK				
Office Action Summary	Examiner	Art Unit				
The MAIL INC DATE of this course should be seen	DANIEL LASTRA	3688				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	J. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on <u>11 February 2009</u> .						
2a) This action is <b>FINAL</b> . 2b) <b>☐</b> This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.					
•	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1,4,6 and 8-17</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1,4,6 and 8-17</u> is/are rejected.						
·	7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
coo the attached actained control a list of the continue copies het received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) 5) Notice of Informal Patent Application						
Information Disclosure Statement(s) (PTO/SB/08)     Paper No(s)/Mail Date	6) Other:	αιωπ πρριισαιιστί				

Art Unit: 3688

#### **DETAILED ACTION**

1. Claims 1, 4, 6 and 8-18 have been examined. Application 10/662,700 (ENHANCED METHOD OF RADIO AND TV ADVERTISING) has a filing date 09/15/2003.

# **Response to Amendment**

2. In response to Final Rejection filed 09/15/2008, the Applicant filed an RCE on 02/11/2009, which amended claims 1, 6, 8-10, 15, 16 and cancel claims 2, 3, 5 and 7.

# Claim Rejections - 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1, 4, 6 and 8-18 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Based on Supreme Court precedent, a method/process claim must (1) be tied to a particular machine or apparatus (see at least Diamond v. Diehr, 450 U.S. 175, 184 (1981); Parker v. Flook, 437 U.S. 584, 588 n.9 (1978); Gottschalk v. Benson, 409 U.S. 63, 70 (1972); Cochrane v. Deener, 94 U.S. 780, 787-88 (1876)) or (2) transforms a particular article to a different state or thing (see at least Gottschalk v. Benson, 409 U.S. 63, 71 (1972)). A method/process claim that fails to meet one of the above requirements is not in compliance with the statutory requirements of 35 U.S.C. 101 for patent eligible subject matter. Here the claims fail to meet the above requirements because the steps are

Art Unit: 3688

neither tied to a particular machine or apparatus nor transforms a particular article to a different state or thing.

# Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 4, 6 and 8-18 are rejected under 35 U.S.C. 102(b) as being anticipated by Llenas (US 5,271,626).

Claim 1, Llenas teaches:

A method of advertising within a media broadcast program which features regular program content and content related to commercials, comprising:

A. establishing a contest whereby listeners of said program may become participants in said contest by following procedures of said contest, said contest including the broadcast of clues from time to time *at least partially during the* broadcast of one of said commercials, with the contest requirement that a participant respond to said at least one broadcasted clue and follow the required procedure of said contest (see figure 4b; col 1, line 5 – col 3, line 60),

B. broadcasting at least one set of regular program content during said program (see figure 4b; col 1, line 5 – col 3, line 60),

C. broadcasting at least one commercial during said program as part of the content related to commercials (see figure 4b; col 1, line 5 - col 3, line 60).

D. broadcasting at least one clue *at least partially during* said at least one commercial as part of the content related to commercials (see figure 4b; col 1, line 5 – col 3, line 60), and

E. providing a specified award to each participant whose response to said clue satisfied the contest requirements to win said award (see figure 4b; col 1, line 5 – col 3, line 60).

Claim 4, Llenas teaches:

wherein said at least one clue is incorporated into a commercial (see figure 4b; col 1, line 5 – col 3, line 60).

Claim 6, Llenas teaches:

broadcasting at least two separate sets of songs, and broadcasting at least one commercial following each of said sets of songs, and broadcasting at least one clue *at least partially during the* at least one of said commercials (see figure 4b; col 1, line 5 – col 3, line 60).

Claim 8, Llenas teaches:

A method of advertising within a media broadcast program which features regular program content and content related to commercials comprising:

A. establishing a contest whereby listeners of said program may become participants in said contest by following procedures of said contest, said contest including the broadcast of clues from time to time at least partially during

Art Unit: 3688

broadcast of one of said commercials, with the contest requirement that a participant respond to said at least one broadcasted clue and follow the required procedure of said contest (see figure 4b; col 1, line 5 - col 3, line 60),

B. broadcasting at least one set of regular program content during said program (See figure 4b),

C. broadcasting at least one set of commercials during said program as part of the content related to commercials (see figure 4b)

D. broadcasting at least one clue at least partially during said at least one set of commercials as part of the content related to commercials (see figure 4b) and

E. providing a specified award to each participant whose response to said clue satisfied the contest requirements to win said award (see figure 4b; col 1, line 5 – col 3, line 60),

Claim 9, Llenas teaches:

wherein the at least one set of regular program content comprises at least two songs, and each of said sets of commercials comprises at least two commercials (see figure 4b; col 1, line 5 – col 3, line 60).

Claim 10, Llenas teaches:

wherein a typical one of said sets of *regular program content* is broadcast for about ten minutes, and a typical one of said sets of commercials is broadcast for about two minutes (see figure 4b; col 1, line 5 - col 3, line 60).

Claim 11, Llenas teaches:

Art Unit: 3688

wherein said award comprises a specific prize (see figure 4b; col 1, line 5 – col 3, line 60).

Claim 12, <u>Llenas</u> teaches:

wherein said award comprises the right to participate in a further phase of said contest (see figure 4b; col 1, line 5 – col 3, line 60).

Claim 13, Llenas teaches:

wherein said response required of a contest participant comprises placing a call to a specified phone number (see figure 4b; col 1, line 5 – col 3, line 60).

Claim 14, Llenas teaches:

wherein said response to win the contest comprises being the nth caller of a plurality of callers, where n is a number specified in the contest procedure (see figure 4b; col 1, line 5 - col 3, line 60).

Claim 15, Llenas teaches:

Conducting a contest within a media broadcast program which features regular program content and content related to commercials, comprising:

A. establishing said contest whereby listeners of said program may become participants in said contest by following procedures of said contest, said contest including the broadcast of clues from time to time *at least partially during* broadcast of one of said commercials, with the contest requirement that a participant respond to said at least one broadcasted clue and follow the required procedure of said contest (see figure 4b; col 1, line 5 – col 3, line 60),

B. broadcasting at least one set of regular program content during said program (see figure 4b),

Page 7

C. broadcasting at least one set of commercials during said program as part of the content related to commercials (see figure 4b)

D. broadcasting at least one clue at least partially during a commercial of said at least one set of commercials (see figure 4b), and

E. providing a specified award to each participant whose response to said clue satisfied the contest requirements to win said award (see figure 4b; col 1, line 5 – col 3, line 60).

### Claim 16, Llenas teaches:

A method of advertising within a media broadcast which features the broadcast of regular program content for a first specified period of time and broadcasting content related to commercials during second specified period of time, comprising:

Α. establishing contest whereby listeners viewers of said а or program may become participants in said contest by following procedures of said contest, said contest including the broadcast of at least one clue from time to time at least partially during broadcast of at least one of said commercials with the contest requirement that a participant respond to said at least one broadcasted clue and follow the required procedure of said contest (see figure 4b; col 1, line 5 - col 3, line 60),

B. broadcasting said program during the first specified period of time (see figure 4b)

Page 8

C. broadcasting at least one set of commercials during said second specified period of time (see figure 4b),

D. broadcasting said at least one clue at least partially during a commercial of said at least one set of commercial, (see figure 4b; col 1, line 5 – col 3, line 60), and

E. providing a specified award to each participant whose response to said clue satisfied the contest requirements to win said award (see figure 4b; col 1, line 5 – col 3, line 60).

### Claim 17, Llenas teaches:

A method of advertising within a media broadcast program which features regular program content and content related to commercials comprising:

A. establishing a contest whereby listeners of said program may become participants in said contest by following procedures of said contest, said contest including the broadcast of triggering events from time to time, with the contest requirement that a participant respond to said at least one broadcasted triggering event and follow the required procedure of said contest (see figure 4b; col 1, line 5 - col 3, line 60),

B. establishing as one rule of said contest that said triggering event will be the broadcast of a particular commercial as part of the content related to commercials (see figure 4b)

C. broadcasting at least one set of regular program content during said program (see figure 4b),

Page 9

D. broadcasting at least one of said particular commercials during said program as part of the content related to commercials (see figure 4b)

E. providing a specified award to each participant whose response to said triggering event satisfied the contest requirements to win said award (see figure 4b; col 1, line 5 – col 3, line 60).

# Claim 18, Llenas teaches:

A method of advertising within media broadcast which features the broadcast of regular program content for a first specified period and broadcasting content related to commercials during a second specified time period comprising:

A. establishing a contest whereby listeners or viewers of said program may become participants in said contest by following procedures of said contest, said contest including the broadcast of at least one triggering event from time to time, with the contest requirement that a participant respond to said at least one broadcasted triggering event and follow the required procedure of said contest (see figure 4b; col 1, line 5 – col 3, line 60)

B. establishing as one rule of said contest that said triggering event will be the broadcast of a particular commercial as part of the content related to commercials during the second specified time period (see figure 4b)

C. broadcasting said regular program content for the first specified period of time (see figure 4b)

D. broadcasting said at least one of said particular commercials which is said triggering event during the second specified time period (see figure 4b)

E. providing a specified award to each participant whose response to said triggering event satisfied the contest requirements to win said award (see figure 4b; col 1, line 5 – col 3, line 60).

# Response to Arguments

5. Applicant's arguments filed 01/15/2009 have been fully considered but they are not persuasive. The Applicant argues that <u>Llenas</u> does not teach Applicant's claimed invention, because according to the Applicant, <u>Llenas</u> does not teach that clues are provided at least partially during a commercial or the commercial itself is the triggering event. The Examiner answers that <u>Llenas</u> teaches announcing a clue (i.e. address) during one of the commercials so the commercial is the clue (see col 2, lines 30-45) and that clues are provided at least partially during a commercial in the fade-to-black periods at the ends of many commercials (see col 4, lines 55-60; col 7, lines 60-65). Therefore, contrary to Applicant's argument, <u>Llenas</u> teaches Applicant's claimed invention.

#### Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DANIEL LASTRA whose telephone number is 571-272-6720 and fax 571-273-6720. The examiner can normally be reached on 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James W. Myhre can be reached on (571)272-6722. The official Fax number is 571-273-8300.

Art Unit: 3688

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/DANIEL LASTRA/ Examiner, Art Unit 3688 April 9, 2009